

Committee:
 Paper No: 59 Date: 15/6/16
 Tabled By: Higgins
 Signed:

Department of Land Resource Management

2016 ESTIMATES

Advance Question to Government

to be asked at 2016 Estimates Hearings

Question No:	19-21
From:	Mr Michael Gunner, Leader of the Opposition
To:	The Hon. Gary Higgins MLA, Land Resource Management
Question Heading:	INTERNAL AUDITS
Question:	19. How many internal audits and financial investigations were conducted in each agency in 2015/16? 20. What were the terms of reference or focus for each relevant investigation? 21. Please provide detail of any fraud, anomalies, breaches of financial legislation or Northern Territory Government policy and procedures exposed by the audits and financial investigations.

Answer:

The Department of Land Resource Management completed five internal audits for 2015-16 (as at 31 March 2016).

Internal audit	Focus	Outcome
Cabinet Information Security Measures	Assess the agency's compliance with the required Cabinet information security measures	The audit found a satisfactory level of compliance. Recommendations were made to improve existing controls.
Official Duty Travel	Review of the agency's official duty travel against the new NTG Air Travel Policy	There is a satisfactory level of compliance with official duty travel. Recommendations were made to improve existing controls.
Tier 2 Procurement	Test the agency's tier 2 procurement activities against the <i>Procurement Act</i> and Procurement Directions	No material issues or risks were identified. The audit found existing controls were satisfactory.
Corporate Credit Card Purchases	Evaluate the compliance of agency corporate credit card purchases against the <i>Financial Management Act</i> , Treasurer's Directions and Northern Territory Government policies	The audit identified opportunities for improving internal controls and recommendations have been made to address these.
Firearms Audit	Determine the agency's compliance with the <i>Firearms Act</i> and corporate firearms licence requirements, and review associated internal administration processes	The audit found no material issues or risks and there was a satisfactory level of compliance. Recommendations were made to improve existing internal controls and update processes.