

LEGISLATIVE ASSEMBLY OF THE NORTHERN TERRITORY

WRITTEN QUESTION

Mrs Finocchiaro to the Chief Minister, for all agencies falling under the Chief Minister's portfolio responsibilities:

AGENCY ADMINISTRATION

Note 1:

We have submitted similar questions to those below in past years prior to the Estimates Committee Hearings. Although it appears there will not be any Estimates Committee Hearings this year; we are submitting these questions in the interests of accountability and continuity, to enable the comparison of agency information across years.

Note 2:

If there is to be a Whole of Government response to any of the questions, it would be appreciated if these are referred early to the Minister responsible to enable a response within the same timeframe as the remainder of the questions.

Note 3:

My Office would be very grateful if the question could be inserted at the beginning of each answer.

STAFFING

1. Please provide details for the following staffing questions as at 30 June 2019, and as at 31 March 2020:
 - a) How many staff were employed in the following categories:
 - i. Full time equivalent
 - ii. Permanent part time contract
 - iii. Contract
 - b) Please advise the number of staff who identify as Aboriginal and Torres Strait Islander as at 30 June 2019, as at 31 March 2020, and as a percentage of the Agency total employment as at 31 March 2020 (all classifications);
 - c) Please advise the number of frontline staff as FTE as at 31 March 2020 and as a percentage of the Agency total employment (all classifications).

Answer:

The Office of the Independent Commissioner Against Corruption (ICAC) had the following staff:

a)

	30 June 2019	31 March 2020
i. Full time equivalent	10	18
ii. Permanent part time contract	-	-
iii. Contract	2	2
Total	12	20

b) A whole-of-government response to Question 1(b) will be provided by the Minister for Public Employment.

c) The Office had 11 frontline staff and represents 55% of the total employment within the agency.

2. Please advise, in each category:

- a) **The total number of staff on contracts (by executive and temporary employment contracts) as at 30 June 2019 and as at 31 March 2020**
- b) **By position, the levels at which staff are employed on executive or temporary employment contracts as at 30 June 2019 and as at 31 March 2020**

Answer:

The Office of the Independent Commissioner Against Corruption (ICAC) had the following staff:

a)

	30 June 2019	31 March 2020
Executive contracts	2	2
Temporary employment contracts	-	-
Total	2	2

b) By position

	30 June 2019	31 March 2020
ICAC	1	1
Executive Contract Officer 2	1	1
Total	2	2

3. For the full financial year ending 30 June 2019 and for the period from 1 July 2019 to 31 March 2020 how many staff:
- a) Resigned
 - b) Were made redundant
 - c) Were terminated

Answer:

For the full financial year ending 30 June 2019, and for the period 1 July 2019 to 31 March 2020, the Office of the Independent Commissioner Against Corruption (ICAC) had the following staff:

	30 June 2019	31 March 2020
Resigned	2	4
Were made redundant	-	-
Were terminated	-	-
Total	2	4

4. How many staff were unattached or categorized as redeployees for the full financial year ending 30 June 2019 and for the period from 1 July 2019 to 31 March 2020?

Answer:

For the full financial year ending 30 June 2019, and for the period 1 July 2019 to 31 March 2020, the Office of the Independent Commissioner Against Corruption (ICAC) had no employees that were unattached or categorised as redeployees.

5. How many staff were categorized as supernumerary for the full financial year ending 30 June 2019 and for the period from 1 July 2019 to 31 March 2020?

Answer:

For the full financial year ending 30 June 2019, and for the period 1 July 2019 to 31 March 2020, the Office of the Independent Commissioner Against Corruption (ICAC) had the following staff:

	30 June 2019	31 March 2020
Categorised as supernumerary	4	3

- 6. How many staff have been engaged through employment agency arrangements, for what purpose, for what duration and at what cost for the full financial year ending 30 June 2019 and for the period from 1 July 2019 to 31 March 2020?**

Answer:

For the full financial year ending 30 June 2019, and for the period 1 July 2019 to 31 March 2020, the Office of the Independent Commissioner Against Corruption (ICAC) had no staff members engaged through employment arrangements.

- 7. How many locums have been employed, for what purpose, what duration and at what cost for the full financial year ending 30 June 2019 and for the period from 1 July 2019 to 31 March 2020?**

Answer:

Not applicable to the Office of the Independent Commissioner Against Corruption

- 8. How many positions had Special Measures applied when advertised for the full financial year ending 30 June 2019 and for the period from 1 July 2019 to 31 March 2020?**

Answer:

The Office of the Independent Commissioner Against Corruption had no positions which had Special Measures applied for the full financial year ending 30 June 2019 and for the period from 1 July 2019 to 31 March 2020.

- 9. How many positions that had Special Measures applied, as referred to in the question above, had to be re-advertised due to the initial process not securing suitable candidates?**

Answer:

Not applicable as per the response to question 8.

10. How many grievances have arisen as a direct result of the Special Measures program since 1 July 2019. Of these, how many remain unresolved?

Answer:

Not applicable as per the response to question 8.

OUTSOURCING

11. For the period beginning 1 July 2019 and ending 31 March 2020, detail any decision(s) to outsource, contract out or privatise functions that have traditionally been carried out by the Agency. Is consideration being given to outsource, contract-out or privatise in financial year 2020/21? If so, provide details.

Answer:

In accordance with *section 5(2) of the Procurement Act 1995 (the Act)*, the Office of the Independent Commissioner Against Corruption has an exemption from the need to comply with the Act in relation to the acquisition of investigative, legal and audit services. Details of outsourced services relating to these categories will not be disclosed to ensure the office maintains confidentiality and security, and protects the office's operational methodology.

The Office will publish an Annual Report for the year ending 30 June 2020, which will include the total outsourced expenditure incurred.

LEGAL EXPENSES

12. What has been the expenditure on legal advice or related expenses for the financial year 2019/20? Provide details on:

- a) The matter(s) (designate which ones are finalised and which ones are ongoing).**
- b) The amount paid by matter.**
- c) The amount paid to each outside legal firm or barrister engaged.**

Answer:

In accordance with *section 5(2) of the Procurement Act 1995 (the Act)*, the Office of the Independent Commissioner Against Corruption has an exemption from the need to comply with the Act in relation to the acquisition of investigative, legal and audit services. As legal services are included in these categories, details of such expenditure will not be disclosed to ensure the office maintains confidentiality and security, and protects the office's operational methodology.

The Office will publish an Annual Report for the year ending 30 June 2020, which will include the total legal expenditure incurred.

PROCUREMENT / CONSULTANCIES

13. For the period beginning 1 July 2019 and ending 31 March 2020, please detail expenditure on each report and consultancy (excluding annual reports) that has been obtained from outside the NTPS. For each report/consultancy detail:
- a) Purpose
 - b) Cost
 - c) Person or entity engaged
 - d) Whether the person or entity has their principal place of business in the Northern Territory or elsewhere (if elsewhere, please provide the address of the principal place of business of the person or entity)
 - e) Whether a report has been tabled in the Legislative Assembly as a result of the report or consultancy
 - f) Outcomes or key performance indicators for the report or consultancy
 - g) Whether tenders or expressions of interest were invited prior to work on the report or consultancy being undertaken

Answer:

In accordance with *section 5(2) of the Procurement Act 1995 (the Act)*, the Office of the Independent Commissioner Against Corruption has an exemption from the need to comply with the Act in relation to the acquisition of investigative, legal and audit services. Details of outsourced services relating to these categories will not be disclosed to ensure the office maintains confidentiality and security, and protects the office's operational methodology.

Majority of consultancies obtained by the Office are for investigative and legal services, and details for these services will not be disclosed. The Office's total consultancy expenditure for the period beginning 1 July 2019 to 31 March 2020 was \$376,274. These consultancies were entered into to provide primary operational outputs for the ICAC.

The Office will publish an Annual Report for the year ending 30 June 2020, which will include the total consultancies incurred.

14. Please detail expenditure paid, or expected to be paid, to Hawker Britton and/or associated entities for the period beginning 1 July 2019 and ending 31 March 2020. Outline the tasks performed.

Answer:

The Office of the Independent Commissioner Against Corruption does not have any expenditure paid or expected to be paid to Hawker Britton and/or associated entities for the period beginning 1 July 2019 and ending 31 March 2020.

- 15. How many contracts and tenders have been awarded to business entities with their principal place of business in the Northern Territory so far, and what works have been undertaken?**

Answer:

In accordance with *section 5(2) of the Procurement Act 1995 (the Act)*, the Office of the Independent Commissioner Against Corruption has an exemption from the need to comply with the Act in relation to the acquisition of investigative, legal and audit services. Details of outsourced services relating to these categories will not be disclosed to ensure the office maintains confidentiality and security, and protects the office's operational methodology.

The Office will undergo a Value for Territory audit for procurement activities not otherwise exempt from above, with the independent audit report to be provided to the Buy Local Industry Advocate.

- 16. How many contracts have been awarded to business entities with a principal place of business outside the Northern Territory for the period beginning 1 July 2019 and ending 31 March 2020? Detail the work being undertaken by each business entity and the selection criteria for the successful business entity on the applicable contract or tender.**

Answer:

In accordance with *section 5(2) of the Procurement Act 1995 (the Act)*, the Office of the Independent Commissioner Against Corruption has an exemption from the need to comply with the Act in relation to the acquisition of investigative, legal and audit services. Details of outsourced services relating to these categories will not be disclosed to ensure the office maintains confidentiality and security, and protects the office's operational methodology.

Majority of consultancies obtained by the Office are for investigative and legal services, and details for these services will not be disclosed.

The Office will undergo a Value for Territory audit for procurement activities not otherwise exempt from above, with the independent audit report to be provided to the Buy Local Industry Advocate.

- 17. For Tier 3, Tier 4 and Tier 5 procurement activities, how many public quotations were undertaken during the period beginning 1 July 2019 and ending 31 March 2020?**

Answer:

In accordance with *section 5(2) of the Procurement Act 1995 (the Act)*, the Office of the Independent Commissioner Against Corruption has an exemption from the need to comply with the Act in relation to the acquisition of investigative, legal and audit services. Details of outsourced services relating to these categories will not

be disclosed to ensure the office maintains confidentiality and security, and protects the office's operational methodology.

Only one Tier 3 procurement activity was undertaken during the period beginning 1 July 2019 to 31 March 2020, and it was for the Office's case management system which was awarded in January 2020. The procurement and project is being managed by Department of Corporate and Information Services on behalf of the agency due to the specialised ICT nature of the contract.

18. For Tier 3, Tier 4 and Tier 5 procurement activities, how many contracts or tenders were awarded without undertaking a public quotation process during the period beginning 1 July 2019 and ending 31 March 2020?

Answer:

The Office of the Independent Commissioner Against Corruption had no Tier 3, Tier 4 and Tier 5 procurement activity that was awarded without undertaking a public quotation process.

19. For each instance identified in the question above, where a public quotation process was not undertaken, including for Certificates of Exemption:

- a) **What is the description of the goods and services contracted?**
- b) **What is the value of the goods and services contracted?**
- c) **What was the reason for not using the public quotation process or for requiring a Certificate of Exemption?**
- d) **Who recommended the course of action in c) above?**
- e) **Who approved the course of action in c) above?**

Answer:

Not applicable per response to Question 18.

20. Please advise the total number of NTG Corporate Credit Cards within the Agency, including the position titles and levels of the staff holding the corporate credit cards for the purchase of goods and/or services as at 31 March 2020.

Answer:

The Office of the Independent Commissioner Against Corruption had five (5) NTG Corporate Credit Cards as at 31 March 2020:

	Position Title	Level
1.	Independent Commissioner Against Corruption	ICAC
2.	General Manager	ECO2
3.	Director Assessments	SAO2
4.	Manager Preventions and Engagement	SAO1
5.	Senior Investigator	AO7

FOCUS GROUPS / POLLING / SURVEYS

21. Please detail expenditure during the period beginning 1 July 2019 and ending 31 March 2020 on opinion polls and focus groups, including costs and entities that conducted the work.

Answer:

The Office of the Independent Commissioner Against Corruption had no expenditure relating to opinion polls and focus groups.

22. Please provide copies of the surveys identified in the question above and detail all surveys undertaken, their form, the cost and what inducements were provided to incentivise participation during the period beginning 1 July 2019 and ending 31 March 2020.

Answer:

Not applicable per the response to Question 21

COMMUNICATIONS AND MARKETING

23. Please detail expenditure on advertising and communications during the period beginning 1 July 2019 and ending 31 March 2020.

For each advertisement for which an expense was incurred:

- a) What was the purpose / description of the advertisement?**
- b) Who was the advertisement placed with, i.e. media outlet, newspaper, and television station or similar?**
- c) What was the total production cost, including, but not limited to, design, commissions, and placement costs?**
- d) Were tenders or expressions of interest called? If not, why not?**

Answer:

The Office of the Independent Commissioner Against Corruption incurred a total of \$1,124 in advertisement expenditure primarily for newspaper placements to provide details of information sessions held by the Office. The placements were in line with an existing across government contract for advertising in the NT.

TRAVEL

24. Please provide the total expenditure and itemised details of travel, including, but not limited to travel-related costs such as accommodation, travel allowance, entertainment, car rental, meals and incidentals, in each Agency and authority for the period beginning 1 July 2019 and ending 31 March 2020 broken down to:

- a) International travel**
- b) Interstate travel**
- c) Intrastate travel**

Answer:

The Office of the Independent Commissioner Against Corruption had the following travel related expenditure for the period 1 July 2019 to 31 March 2020:

Type of travel	Travel related costs (includes airfare, accommodation, allowance, taxi vouchers and incidentals)
International travel	NIL
Interstate travel	\$15,381
Intrastate travel	\$13,278

- 25. In the case of international travel identified in response to the question above, please provide the purpose, itinerary, persons and costs involved in each trip.**

Answer:

Not applicable as no international travel was undertaken for the Office of the Independent Commissioner Against Corruption.

- 26. Please provide itemised details and itemisation of costs of all travel undertaken by the Minister that was paid for by the Agency or authority, including travel on charters during the period beginning 1 July 2019 and ending 31 March 2020.**

Answer:

Not applicable for the Office of the Independent Commissioner Against Corruption. Department of the Chief Minister will provide a response.

HOSPITALITY / FUNCTIONS AND EVENTS

- 27. Please provide full details of all public service hospitality provided for the period beginning 1 July 2019 and ending 31 March 2020. In relation to each occasion on which official hospitality was undertaken:**
- a) What was the purpose of the hospitality?**
 - b) How many guests attended?**
 - c) How many Ministers attended?**
 - d) How many Ministerial staff attended?**
 - e) How many MLAs attended?**
 - f) How many Public Sector employees attended?**
 - g) What was the total cost incurred?**

Answer:

The Office of the Independent Commissioner Against Corruption had only one instance of public service hospitality provided for the period 1 July 2019 to 31 March 2020, and it was for the Office's public sector forum held in November 2019. The forum was intended to be a consultative, information-sharing opportunity to capture issues the agencies may be facing, and to come up with solutions that ensure compliance and consistency across the NT Public Sector. No Ministers, ministerial staff or MLAs attended. A total of 45 Public Sector employees attended.

The total cost of the public sector forum was \$1,613.64 (GST exclusive) which includes venue hire and catering for the full day (morning tea, lunch and afternoon tea for the participants).

GRANTS AND DONATIONS

28. Please detail expenditure on grants and donations paid by your Agency (including the recipient of each grant) during the period beginning 1 July 2019 and ending 31 March 2020.

Answer:

Not applicable for the Office of the Independent Commissioner Against Corruption.

29. Please detail the funds utilised to distribute awards and sponsorships in the period beginning 1 July 2019 and ending 31 March 2020. Indicate which awards and sponsorships were managed by Regional Offices. What is anticipated for the 2020/21 financial year?

Answer:

Not applicable for the Office of the Independent Commissioner Against Corruption.

30. Please detail the amounts paid on grants to non-Government organisations for the period beginning 1 July 2019 and ending 31 March 2020, including to which organisation and the services to be provided?

Answer:

Not applicable for the Office of the Independent Commissioner Against Corruption.

MEDIA MONITORING SERVICES

31. Provide expenditure details on media monitoring services for the period beginning 1 July 2019 and ending 31 March 2020 (including entities engaged and who utilises the service).

Answer:

The Office of the Independent Commissioner Against Corruption had no media monitoring expenditure incurred for the period 1 July 2019 to 31 March 2020.

INFRASTRUCTURE PROJECTS

- 32. How many projects have been submitted or are in the process of being submitted to Infrastructure Australia to be considered for the Infrastructure Priority List?**

Answer:

Not applicable for the Office of the Independent Commissioner Against Corruption.

- 33. Please provide details of newly committed projects for the period beginning 1 July 2019 and ending 31 March 2020.**

Answer:

Not applicable for the Office of the Independent Commissioner Against Corruption.

- 34. Please provide details of contracts awarded to interstate firms, for what purpose, the cost and why a Territory firm was not chosen.**

Answer:

Not applicable for the Office of the Independent Commissioner Against Corruption.

GOVERNMENT LEASED BUILDINGS

- 35. What is the total annual power bill of each Government building owned/leased/used by each Department for the period beginning 1 July 2019 and ending 31 March 2020?**

Answer:

The Office of the Independent Commissioner Against Corruption incurred \$9,507 in power bill for the period 1 July 2019 to 31 March 2020.

36. What is the total annual leased space of each Government building used by each Agency/authority and at what cost for the period beginning 1 July 2019 and ending 31 March 2020?

Answer:

A whole-of-government response to Question 36 will be provided by the Minister for Corporate and Information Services.

37. How much Government owned or leased premises or office space is currently under-utilised (at less than 100 per cent occupied) or vacant?

Answer:

A whole-of-government response to Question 37 will be provided by the Minister for Corporate and Information Services.

FEES AND CHARGES

38. Please detail the statutory or legislative fees and charges levied by your Agency/authority, the revenue raised in the 2019/20 financial year and whether any of these fees and charges was increased following the passage of the 2019/20 financial year budget.

Answer:

The Office of the Independent Commissioner Against Corruption had no statutory or legislative fees and charges levied.

INTERNAL AUDITS

39. How many internal audits and financial investigations were conducted in the period beginning 1 July 2019 and ending 31 March 2020?

Answer:

The Office of the Independent Commissioner Against Corruption had no internal audits and financial investigations conducted for the office in the period 1 July 2019 to 31 March 2020.

The office has established an Audit, Risk and Compliance Committee with an external member as Chairperson. An internal audit program is currently under development.

40. What were the terms of reference or focus for each investigation?

Answer:

Not applicable per response to Question 39.

41. Please provide detail of any fraud, anomalies, breaches of financial legislation or Northern Territory Government policy and procedures exposed by the audits and financial investigations.

Answer:

Not applicable per response to Question 39.

BOARDS / ADVISORY BODIES

- 42. Please detail all boards and advisory bodies in your Agency in 2019/20, also providing the following information:**
- a) The Terms of Reference, if changed from last year**
 - b) The current members and when they were appointed**
 - c) The total remuneration paid to each Board member during the 2019/20 financial year**
 - d) The itemised total cost incurred by the Board during the 2019/20 financial year**
 - e) The number of times the Board met during the period beginning 1 July 2019 and ending 31 March 2020**

Answer:

The Office of the Independent Commissioner Against Corruption does not have boards or advisory bodies.

REVIEWS AND INQUIRIES

- 43. Details of all reviews and inquiries completed or commenced during the 2019/20 financial year, also providing the following information:**
- a) The Terms of Reference**
 - b) The criteria for selection of all panel members**
 - c) The composition, qualifications and state or territory of residence of the persons undertaking the review/inquiry**
 - d) The cost of the review/inquiry**
 - e) How the information was/is accumulated to contribute to the review/inquiry**

- f) **If completed, when, the outcome and whether the report has been tabled in the Legislative Assembly**
- g) **If not completed when this is expected**

Answer:

The Office of the Independent Commissioner Against Corruption had no reviews and inquiries completed or commenced during the 2019/20 financial year.

WORKPLACE HEALTH AND SAFETY

- 44. Please provide the number, nature and cost of reportable safety issues for the period beginning 1 July 2019 and ending 31 March 2020.**

Answer:

The Office of the Independent Commissioner Against Corruption had one reportable safety issue for the period 1 July 2019 to 31 March 2020. This was for a minor physical injury which was addressed in a timely manner.

- 45. Please detail the number of stress related matters and claims for the period beginning 1 July 2019 and ending 31 March 2020.**

Answer:

The Office of the Independent Commissioner Against Corruption had no stress related matters and claims for the period 1 July 2019 to 31 March 2020.

REGIONAL OFFICES

- 46. Please detail expenditure on staff located in regional offices across the Territory. Include the number of staff, their functions and outcomes achieved in the 2019/20 financial year. What are the locations for which they are responsible?**

Answer:

The Office of the Independent Commissioner Against Corruption has no regional offices.