

Legislative Assembly of the Northern Territory

Response to Written Question

Minister	The Hon Peter Chandler MLA
Portfolio	Education
Agency	Department of Education

Question Reference	WQ317 – Internal Audits
From	Ms Nicole Manison MLA

Question

Internal Audits

1. How many internal audits or financial investigations were conducted in each agency in 2014-15?
2. What were the terms of reference or focus for each relevant investigation?
3. Please provide detail of any fraud, anomalies, breaches of financial legislation or Northern Territory Government policy exposed by the audits and financial investigations.

Answer

- 1, 2. As at 30 June 2015 two of five internal audits planned to be conducted in the 2014-15 financial year had been completed to final report stage. The remaining three internal audits were underway, as presented below:

Internal audit	Focus/terms of reference
Cabinet Information Security Measures	The objective of the internal audit was to assess the department's measures related to the security of Cabinet information against selected requirements of the Department of the Chief Minister Cabinet Handbook, as outlined in the Department of the Chief Minister agency compliance checklist. An opportunity to further improve associated training for staff was

	identified as part of the audit.
Work Health and Safety (including Emergency Management)	<p>The objective of the audit was to determine whether:</p> <ul style="list-style-type: none"> • the department’s work health and safety framework meets relevant legislative requirements • on a sample basis, whether these legislative requirements have been effectively implemented in government schools • on a sample basis, whether requirements outlined in department policies relating to emergency preparedness have been effectively implemented in schools, in particular schools designated as emergency public shelters. <p>Opportunities to improve the department’s work health and safety framework and its further implementation in schools were identified as part of the audit.</p>
Procurement and Contract Management	The objective of the audit is to determine whether the department’s procurement and contract management processes meet the relevant NT Government Procurement Directions and whether these processes adequately mitigate associated risks.
Student Attendance Recording Data	<p>The objective of the audit is to:</p> <ul style="list-style-type: none"> • provide information around quality of student, enrolment and attendance information held at each sampled school, specifically with respect to adherence with policies and procedures regarding recording student enrolment and attendance information • quality control check end-to-end data, from students counted in a classroom through to central reporting of attendance for that session.
Selected Financial Expenditure Processes	<p>The objective of the audit is to determine whether the department’s selected financial expenditure processes adequately mitigate associated risks. The following sub-processes are included in the audit:</p> <ul style="list-style-type: none"> • fuel expenditure • official travel • entertainment and hospitality • corporate credit cards.
3. Nil	