

LEGISLATIVE ASSEMBLY OF THE NORTHERN TERRITORY

WRITTEN QUESTION

Agency Administration

Mrs Finocchiaro to the Chief Minister for all agencies falling under the previous Ministry's portfolio responsibilities, listed in Administrative Arrangements Order (No. 2) 2020, as follows:

Part 1

Agencies for Financial Management Act 1995 and Public Sector Employment and Management Act 1993

- Auditor-General's Office
- Department of Corporate and Information Services
- Department of Education
- Department of Environment and Natural Resources
- Department of Health
- Department of Infrastructure, Planning and Logistics
- Department of Local Government, Housing and Community Development
- Department of Primary Industry and Resources
- Department of the Attorney-General and Justice
- Department of the Chief Minister
- Department of the Legislative Assembly
- Department of Tourism, Sport and Culture
- Department of Trade, Business and Innovation
- Department of Treasury and Finance
- Land Development Corporation
- Northern Territory Electoral Commission
- Northern Territory Emergency Service
- Northern Territory Fire and Rescue Service
- Office of the Commissioner for Public Employment
- Office of the Independent Commissioner Against Corruption
- Ombudsman's Office
- Police Civil Employment Unit
- Territory Families

Part 2

Agencies for Financial Management Act 1995 only

- Aboriginal Areas Protection Authority
- Department of Local Government, Housing and Community Development – NT Home Ownership
- Northern Territory Police, Fire and Emergency Services
- Northern Territory Treasury Corporation

AGENCY ADMINISTRATION

Notes:

We submitted these questions and received responses as at 31 March 2020. Now that there have been significant machinery of government changes, in the interests of accountability and completeness, we are asking the same questions for a full year record of actuals.

We understand it will be easier for Agencies to provide a full year response rather than just the final quarter.

If there is to be a Whole of Government response to any of the questions, it would be appreciated if these are referred early to the Minister responsible to enable a response within the same timeframe as the remainder of the questions.

My Office would be very grateful if the question could be inserted at the beginning of each answer. Thank you for doing this last time.

STAFFING

1. Please provide details for the following staffing questions as at 30 June 2020:
 - a) How many staff were employed in the following categories:
 - i. Full time equivalent
 - ii. Permanent part time contract
 - iii. Contract
 - b) Please advise the number of staff who identify as Aboriginal and Torres Strait Islander as at 30 June 2020, and as a percentage of the Agency total employment as at 30 June 2020 (all classifications);
 - c) Please advise the number of frontline staff as FTE as at 30 June 2020 and as a percentage of the Agency total employment (all classifications).

- a) Staffing numbers are provided by FTE. Note, the data includes the Department of Treasury and Finance and the Northern Territory Treasury Corporation.

	As at Pay 26 (June 2020)
Full time equivalent	122.3
Permanent part time	7.9
Contract ^{1, 2}	34.4

1. Includes one ECO2 on temporary transfer to another agency where costs were recovered by ledger transfer, and an ECO4 and ECO1 on temporary secondment to other agencies (paid by DTF).

2. Contract refers to Executive Contract Officers, Treasury Graduate Program participants and temporary contract employees.

- b) A whole-of-government response to Question 1(b) will be provided by the Minister for Public Employment.
- c) The Department of Treasury and Finance (including Northern Territory Treasury Corporation) is a central agency that mainly provides advice to government and/or services to other agencies and tax payers. It does not have frontline staff.
2. Please advise, in each category:
- a) The total number of staff on contracts (by executive and temporary employment contracts) as at 30 June 2020
- b) By position, the levels at which staff are employed on executive or temporary employment contracts as at 30 June 2020

As at 30 June 2020, the following were employed with the Department of Treasury and Finance and Northern Territory Treasury Corporation.

Executive Contract Officers by FTE:

	as at Pay 26 (June 2020)
ECO6	1
ECO4 ¹	3
ECO3	2
ECO2 ²	4
ECO1 ³	6
Total	16

1. One ECO4 on temporary secondment to another agency and another officer acted in the vacant ECO4 position during this time.

2. Includes one ECO2 on temporary transfer to another agency where costs were recovered by ledger transfer.

3. Includes one ECO1 on secondment paid by DTF to another agency.

Temporary Employees (excluding ECOs) by FTE:

	as at Pay 26 (June 2020)
AO7	1.0
AO6	0.6
AO5	0.9
AO4	6.0
AO3	1.0
GRADT	8.9
Total	18.4

3. For the full financial year ending 30 June 2020 how many staff:
- a) Resigned
 - b) Were made redundant
 - c) Were terminated

For the full financial year ending 30 June 2020, the following number of staff resigned, were terminated or were made redundant in the Department of Treasury and Finance and the Northern Territory Treasury Corporation:

	As at Pay 26 (June 2020)
Resigned	15
Were made redundant	1
Were terminated	0

4. How many staff were unattached or categorized as redeployees for the full financial year ending 30 June 2020?

The number of staff within the Department of Treasury and Finance or the Northern Territory Treasury Corporation who were unattached or categorised as redeployees as at 30 June 2020:

	As at Pay 26 (June 2020)
Unattached ¹	26
Redeployee	0

1. Unattached relates to an employee's nominal status, where an employee is not nominally held against a position. Includes graduate trainees, and staff on long leave, e.g. parental leave.

5. How many staff were categorized as supernumerary for the full financial year ending 30 June 2020?

The number of staff within the Department of Treasury and Finance or the Northern Territory Treasury Corporation who were categorised as supernumerary as at 30 June 2020:

	As at Pay 26 (June 2020)
Supernumerary ¹	23.4

1. Relates to an employee's actual status, where an employee is not held actually against a position regardless of their nominal status. Includes graduate trainees, and staff on long leave, as in Q4 above

6. How many staff have been engaged through employment agency arrangements, for what purpose, for what duration and at what cost for the full financial year ending 30 June 2020?

One staff member was engaged through employment agency arrangements to assist the executive secretariat from 6 April to 31 May 2020 at a cost of \$20 893 for the financial year ending 30 June 2020.

7. How many locums have been employed, for what purpose, what duration and at what cost for the full financial year ending 30 June 2020?

Not applicable.

8. How many positions had Special Measures applied when advertised for the full financial year ending 30 June 2020?

Nil

9. How many positions that had Special Measures applied, as referred to in the question above, had to be re-advertised due to the initial process not securing suitable candidates?

Nil

10. How many grievances have arisen as a direct result of the Special Measures program since 1 July 2017? Of these, how many remain unresolved?

A whole-of-government response to Question 10 will be provided by the Office of the Commissioner for Public Employment.

OUTSOURCING

11. For the period beginning 1 July 2019 and ending 30 June 2020, detail any decision(s) to outsource, contract out or privatise functions that have traditionally been carried out by the Agency. Is consideration being given to outsource, contract-out or privatise in financial year 2020/21? If so, provide details.

The Department of Treasury and Finance and Northern Territory Treasury Corporation did not outsource, contract out or privatise any functions that are traditionally carried out by the agency for the period in question.

Consideration is not being given to outsourcing, contracting out or privatising any functions in 2020-21.

LEGAL EXPENSES

12. What has been the expenditure on legal advice or related expenses for the financial year 2019/20? Provide details on:
- a) The matter(s) (designate which ones are finalised and which ones are ongoing)
 - b) The amount paid by matter
 - c) The amount paid to each outside legal firm or barrister engaged

The Department Treasury and Finance and Northern Territory Treasury Corporation legal advice or related expenditure for the period 1 July 2019 to 30 June 2020 was **\$241 561**.

Details of each legal expense is as follows:

12 (a)	12 (b)	12 (c)	
Matter	Status: Ongoing/Finalised	Amount \$	Legal firm/Barrister
NT Application of the National Electricity Law (Package 2b)	Finalised	67 899	Australian Government Solicitor
Commercialisation of the Land Title Office	Finalised	60 000	Minter Ellison Lawyers
Northern Territory Electricity Ring Fencing Code	Finalised	24 440	Seaton Legal Pty Ltd
Reliability Framework Advice	Finalised	17 300	Australian Government Solicitor
Mount ISA Mines Ltd v The Secretary Appointed pursuant to Section 49AA of the <i>Mineral Royalty Act 1982 (NT)</i>	Finalised	16 895	Stephen Wright
Darwin Shift Lift and Marine Industry Infrastructure Project	Ongoing	13 550	Ernst & Young
Northern Australia Infrastructure Facility Loan to NT Airports Pty Ltd	Finalised	11 962	Clayton Utz
Southport Water Supply Issues	Finalised	5 993	Allens Arthur Robinson
Recovery of Payroll Tax Debt from Rusca Group	Ongoing	4 536	HWL Ebsworth Lawyers
GEMCO v The Secretary Appointed Pursuant to Section 49AA, <i>Mineral Royalty Act</i>	Finalised	4 500	Sheila Kaur-Bains
Newmont Tanami Pty v The Secretary, Territory Revenue Office	Ongoing	3 990	Sheila Kaur-Bains
Recovery of overpayment to a superannuation fund	Finalised	3 144	Clayton Utz
Motor Accident Compensation Commission Responsibility Advice	Finalised	2 450	Karen Christopher
Solicitor for NT - Interim NT Electricity Market 2.0	Finalised	2 328	Australian Government Solicitor
Wind Up Northern Territory Infrastructure Development Fund	Finalised	1 948	Mallesons Stephen Jaques (NSW)
Pinelands NT - Todd Andrew McCourt	Ongoing	180	Risk & Security Management Ltd
McCourtta - Todd Andrew McCourt	Finalised	151	Territory (NT) Debt Collectors
David Stuart Tyrwhitt	Finalised	150	Territory (NT) Debt Collectors
Relational Extract	Ongoing	51	Department of the Attorney-General and Justice
Historic Company Searches	Ongoing	71	Department of the Attorney-General and Justice
Discharge Statutory Darren A	Finalised	24	Department of Corporate and Information Services
	Total	241 561	

PROCUREMENT / CONSULTANCIES

13. For the period beginning 1 July 2019 and ending 30 June 2020, please detail expenditure on each report and consultancy (excluding annual reports) that has been obtained from outside the NTPS. For each report/consultancy detail:
- a) Purpose
 - b) Cost
 - c) Person or entity engaged
 - d) Whether the person or entity has their principal place of business in the Northern Territory or elsewhere (if elsewhere, please provide the address of the principal place of business of the person or entity)
 - e) Whether a report has been tabled in the Legislative Assembly as a result of the report or consultancy
 - f) Outcomes or key performance indicators for the report or consultancy
 - g) Whether tenders or expressions of interest were invited prior to work on the report or consultancy being undertaken

Total Department of Treasury and Finance and the Northern Territory Treasury Corporation expenditure for consultant fees was **\$1 588 953** for the period 1 July 2019 to 30 June 2020.

Details of expenditure on each consultancy is attached for Tier 1 and above.

13 (a)	13 (b)	13 (c)	13 (d)	13 (e)	13 (f)	13 (g)
Purpose	Cost (\$)	Entity/Person	Principal place of business	Report tabled	Outcomes/KPIs	Tender/EOI
Implementation of the NT Electricity Market	383 075	Oakley Greenwood Pty Ltd	QLD	No	Provision of economic, regulatory, compliance and technical advice	Public Tender process
Commercialisation of the Land Titles Office and Integrated Land Information System	293 670	Investec Australia Limited	NSW	No	Specialist advice in relation to options for commercialisation of the Land Titles Office	Select Tender process
2018-19 NT Electricity Outlook Report	210 000	Australian Energy Market Operator Limited	VIC	Published on the Utilities website on 17 June 2020	Annual NT Electricity Outlook Report	Public Tender process
Generator Performance Report	86 245	GHD Pty Ltd	NSW	Published on the Utilities website on 28 Feb 2020	Review of the Generator Performance Standards	Public Tender process
2018-19 NT Power System Performance Review	70 650	Hydro-Electric Corp T/A Hydro Tasmania	TAS	Published on the Utilities website on 18 May 2020	2018-19 NT Power System Performance Review	Public Tender process
Northern Territory Electricity Ring Fencing Review	59 150	ACIL Allen Consulting Pty Ltd	VIC	Published on the Utilities website on 20 March 2020	Provide expert advice to the Commission in relation to the ring fencing review.	Public Tender process
Alice Springs System Black Outage Investigation	56 424	Hydro-Electric Corp T/A Hydro Tasmania	TAS	Published on the Utilities website on 1 Dec 2019	Expert services for the investigation of the Alice Springs system black incident on 13 October 2019	Public Tender process

13 (a)	13 (b)	13 (c)	13 (d)	13 (e)	13 (f)	13 (g)
Purpose	Cost (\$)	Entity/Person	Principal place of business	Report tabled	Outcomes/KPIs	Tender/EOI
Provision of four reports covering the Australian Accounting Standard Board 119 liabilities in respect of NTGPASS, NTSSS and NTGDIS	54 000	PriceWaterhouseCoopers	NSW	No	Superannuation revaluation in relation to the preparation of the annual financial statements and Treasurer's Annual Financial Report	Public Tender process
Assess the NT public sector's adoption of, and new whole-of-government lease accounting system (ProgenNET) for compliance with the requirements of AASB 16 leases and Treasurer's Directions	45 728	Deloitte Touche Tohmatsu	NT	No	Provision of detailed findings and recommendation on the internal audit of adoption of the new AASB 16 as at 30 June 2020	Tier 3 procurement process
Review of Wholesale Electricity Pricing for the Darwin-Katherine System	44 716	ACIL Allen Consulting Pty Ltd	VIC	No	Expert advice as part of the Review of Wholesale Electricity Pricing for the Darwin-Katherine System	Public Tender process
NT Public Sector (NTPS) Workers compensation costing and premium calculation	44 327	Cumpston Sarjeant Consulting Actuaries	VIC	No	NTPS workers compensation costing of policy options, premium calculations by agency for 2019-20 and related work including advice on Gallagher Bassett return-to-work metric	No panel – Tender period contract process
Actuarial Services for NT Public Sector Workers Compensation Scheme	35 805	Cumpston Sarjeant Consulting Actuaries	VIC	No	NTPS Workers compensation outstanding claims liability calculation as at 30 June 2019	No panel – Tender period contract process
Framework for government to recover costs in respect of onshore petroleum industry	34 210	Marsden Jacob Associates	VIC	No	Options for a cost-recovery framework for government to recover its costs in respect to the onshore petroleum industry	Public Tender process
Superannuation Scheme Valuations and Reviews	20 260	Cumpston Sarjeant Consulting Actuaries	VIC	No	Triennial review as at 30 June 2019 - Judges Pension Scheme, Legislative Assembly Members' Superannuation Scheme, Administrator Scheme	Public Tender process

13 (a)	13 (b)	13 (c)	13 (d)	13 (e)	13 (f)	13 (g)
Purpose	Cost (\$)	Entity/Person	Principal place of business	Report tabled	Outcomes/KPIs	Tender/EOI
Agency Review Framework	20 000	NOUS Group Pty Ltd	VIC	No	Stage 1 – framework for agency organisational reviews (budget repair action)	Tier 2 procurement process
Northern Territory Redress Scheme Liability Valuation	19 330	Finity Consulting Pty Ltd	NSW	No	NT Redress Scheme Liability Valuation Report as at 30 June 2019	Tier 2 procurement process
Advice for Darwin ship lift and marine industry Infrastructure project transaction	17 700	Ernst & Young	NT	No	Accounting advice (Phase 1) on the Darwin Ship Lift and Marine Industry Infrastructure Project transaction	Tier 2 procurement process
Compliance Audit - Gallagher Bassett	17 926	Nexia Edwards Marshall NT	NT	No	Audit of Gallagher Bassett's Compliance with the Return to Work Claims Management Agreement	Tier 2 procurement process
Budget Repair Implementation Plan	13 473	Hill Professional Services	NT	No	Budget Repair Implementation Plan	Tier 1 procurement process
Generator Performance Report	11 573	WSP Australia Pty Limited	NSW	No	Review of the Generator Performance Standards	Panel Contract process
Revised Ring-Fencing Code	8 303	ACIL Allen Consulting Pty Ltd	VIC	Published on the Utilities website on 20 March 2020	Initial draft revised Code	Public Tender process
NT Medical Advice	7 734	Dr June Minn	NT	No	Medical advice to assist the Commissioner of Superannuation	Tier 1 procurement process
2019-20 Corporate Plan Update and 2018-19 Corporate Performance Reporting	6 909	Hill Professional Services	NT	No	Developed the DTF 2018-19 Corporate Performance Report and Corporate Plan 2019-20 key actions	Tier 1 procurement process

13 (a)	13 (b)	13 (c)	13 (d)	13 (e)	13 (f)	13 (g)
Purpose	Cost (\$)	Entity/Person	Principal place of business	Report tabled	Outcomes/KPIs	Tender/EOI
Actuarial Superannuation consulting – NTGPASS defined benefit liability estimate as at 7 May 2019	6 000	PriceWaterhouseCoopers	NSW	No	Valuation certificate for NTGPASS defined benefit liability estimate as at 7 May 2019	Tier 1 procurement process
Actuarial triennial valuation of NTGPASS, NTSSS and NTGDIS liabilities as at 30 June 2019	5 000	PriceWaterhouseCoopers	NSW	No	Triennial valuation certificates for NTGPASS, NTSSS and NTGDIS as at 30 June 2019	Tier 1 procurement process
NT Police Supplementary Benefit Scheme (NTPSBS) and Legislative Assembly Members' Superannuation Scheme (LAMS) - Financial Reporting Valuation and Northern Territory Government Death and Invalidation Scheme (NTGDIS) - calculation	4 519	Cumpston Sarjeant Consulting Actuaries	VIC	No	NTPSBS individual tax component calculations (2 members); LAMS - financial reporting valuation report as at 12 April 2019; and NTGDIS - individual disability component calculation	Tier 1 procurement process
Treasurer's Annual Financial Report (TAFR) superannuation results and projections. NTPSBS – individual tax component calculations – two members	4 126	Cumpston Sarjeant Consulting Actuaries	VIC	No	TAFR and annual financial statements superannuation results and projections; individual tax component calculation for two members	Tier 2 procurement process
Commonwealth Superannuation Scheme (CSS) Contribution Scenarios	4 000	PriceWaterhouseCoopers	NSW	No	Provision of advice regarding costing of different options that could be taken to reduce the Territory's contribution toward CSS pension costs, in line with the recommendation of the Fiscal Strategy Panel's A plan for budget repair: Final report that the Territory should seek relief of debt inherited from the Commonwealth on self-government	Tier 1 procurement process

13 (a)	13 (b)	13 (c)	13 (d)	13 (e)	13 (f)	13 (g)
Purpose	Cost (\$)	Entity/Person	Principal place of business	Report tabled	Outcomes/KPIs	Tender/EOI
Valuation fees for the Unimproved Capital Value	2 700	Herron Todd White (NT) Pty Ltd	NT	No	Valuation certificate for the multiple parcels of land under one unimproved capital value	Tier 1 procurement process
Commercialisation of the Land Titles Office and Integrated Land Information System	1 400	Merit Partners Pty Ltd	NT	No	Specialist advice in relation to the options for commercialisation of the Land Titles Office	Tier 2 procurement process
TOTAL	1 588 953					

14. Please detail expenditure paid, or expected to be paid, to Hawker Britton and/or associated entities for the period beginning 1 July 2019 and ending 30 June 2020. Outline the tasks performed.

The Department of Treasury and Finance (including Northern Territory Treasury Corporation) has not and do not expect expenditure to be paid to Hawker Britton and/or associated entities for period 1 July 2019 and ending 30 June 2020.

15. How many contracts and tenders have been awarded to business entities with their principal place of business in the Northern Territory so far, and what works have been undertaken?

One contract and tender was awarded by the Department of Treasury and Finance (including Northern Territory Treasury Corporation) to business entities with their principal place of business in the Northern Territory during the period of 1 July 2019 to 30 June 2020.

- Provision of Internal Audit Services to assess compliance with new leases accounting standard and new whole of government software.

16. How many contracts have been awarded to business entities with a principal place of business outside the Northern Territory for the period beginning 1 July 2019 and ending 30 June 2020? Detail the work being undertaken by each business entity and the selection criteria for the successful business entity on the applicable contract or tender.

Six contracts were awarded to business entities with a principal place of business outside the Northern Territory during the period of 1 July 2019 to 30 June 2020.

- Provision of Northern Territory Redress Scheme liability valuation as at 30 June 2019.
- Provision of electronic information services for a period of 60 months (NT TCorp).
- Provision of registry services for a period of 60 months (NT TCorp).
- Provision of EViews Subscription for a period of 12 months.
- Specialist technical advice on the development and performance of wholesale electricity market operations, system management and planning for the Northern Territory and alignment with national arrangements for a period of 36 months.
- Annual valuation of the Northern Territory Redress Scheme Liability for a period of 48 months.

17. For Tier 3, Tier 4 and Tier 5 procurement activities, how many public quotations were undertaken during the period beginning 1 July 2019 and ending 30 June 2020?

There were two public quotations for Tier 3 and above undertaken for the Department of Treasury and Finance (including Northern Territory Treasury Corporation during the period of 1 July 2019 to 30 June 2020.

18. For Tier 3, Tier 4 and Tier 5 procurement activities, how many contracts or tenders were awarded without undertaking a public quotation process during the period beginning 1 July 2019 and ending 30 June 2020?

From 1 July 2019 and ending 30 June 2020, **three (3)** contracts were awarded by the Department of Treasury and Finance (including Northern Territory Treasury Corporation) for Tier 3, 4 and 5 procurement activities without undertaking a public quotation process.

19. For each instance identified in the question above, where a public quotation process was not undertaken, including for Certificates of Exemption:
- What is the description of the goods and services contracted?
 - What is the value of the goods and services contracted?
 - What was the reason for not using the public quotation process or for requiring a Certificate of Exemption?
 - Who recommended the course of action in c) above?
 - Who approved the course of action in c) above?

19a – What is the description of the goods and services contracted	19b – what is the value of the goods and services contracted	19c – What is the reason for not using the public quotation process or for requiring a Certificate of Exemption	19d – Who recommended the course of action in c) above?	19e – Who approved
Darwin – Provision of Registry Services for a Period of 60 Months (NT TCorp)	\$421 554	Highly specialised services with supplies available from limited sources	Manager of Financial Assets and Liabilities	Under Treasurer
Darwin – Provision of Electronic Information Services for a Period of 60 Months (NT TCorp)	\$146 101	Highly specialised services with supplies available from limited sources	Manager of Financial Assets and Liabilities	Under Treasurer
Darwin - Consultancy – Internal Audit Services to assess compliance with new leases accounting standard and new whole of government software (Tier 3 process)	\$50 300	Highly specialised advice required. Tenders sought from several, though limited, number of suppliers.	Director Financial Reporting	Deputy Under Treasurer (Corporate)

20. Please advise the total number of NTG Corporate Credit Cards within the Agency, including the position titles and levels of the staff holding the corporate credit cards for the purchase of goods and/or services as at 30 June 2020.

As at 30 June 2020:

- Department of Treasury and Finance: 4 credit cards

Position titles and levels of the staff holding the corporate credit card are:

No.	Position Title	Position Level
1	Finance Officer	AO4
2	Executive Assistant	AO4
3	Group Coordinator	AO4
4	Communications Officer	AO5

- Northern Territory Treasury Corporation: 1 credit card

Position title and level of the staff holding the corporate credit card are:

No.	Position Title	Position Level
1	Finance Officer	AO4

FOCUS GROUPS / POLLING / SURVEYS

21. Please detail expenditure during the period beginning 1 July 2019 and ending 30 June 2020 on opinion polls and focus groups, including costs and entities that conducted the work.

The Department of Treasury and Finance (including Northern Territory Treasury Corporation) did not have any expenditure during the period 1 July 2019 to 30 June 2020 relating to opinion polls and focus groups.

22. Please provide copies of the surveys identified in the question above and detail all surveys undertaken, their form, the cost and what inducements were provided to incentivise participation during the period beginning 1 July 2019 and ending 30 June 2020.

Not applicable.

COMMUNICATIONS AND MARKETING

23. Please detail expenditure on advertising and communications during the period beginning 1 July 2019 and ending 30 June 2020. For each advertisement for which an expense was incurred:

- a) What was the purpose / description of the advertisement?
- b) Who was the advertisement placed with, i.e. media outlet, newspaper, and television station or similar?
- c) What was the total production cost, including, but not limited to, design, commissions, and placement costs?
- d) Were tenders or expressions of interest called? If not, why not?

Advertising and communication expenditure for the Department of Treasury and Finance and the Northern Territory Treasury Corporation for the period of 1 July 2019 to 30 June 2020 is as follows:

23 (a)	23 (b)	23 (c)
Purpose	Type of Advertisement	Total Production Cost (\$)
Budget Repair	Channel 9 Darwin, NT Darwin GO, 10 Digital Darwin, NT News	1 740
2019-20 Budget	NT News, Sunday Territorian, Katherine Times, Tennant and District Times, Centralian Advocate, Channel 7, Channel 9 Darwin, Channel 10, Imparja, SBS, Hot 100, Mix 104.9, Territory FM, SHA, SUN, SCCC, Gove FM, CAAMA (8KIN FM), Radio Larrakia, TEABBA, YOLNJU, NT News Online, Facebook	1 084
Recruitment notices	Business News Australia, The Australian.com.au, Australian Financial Review, Facebook, SEEK, The Economic Society of Australia website	8 004
Careers Expo August 2019	Exhibitor Booth	295
Electricity Pricing Order	NT News, Centralian Advocate	2 619
LinkedIn Careers	Facebook, The Economic Society of Australia website	89
My Fuel NT	Facebook	78
Property Activation Levy	Facebook	44
2019 Recreation service milestone	Cards, certificates	755
Promotional merchandise for early careers program	Facebook, pens	2 086
Graduate Recruitment	Australian Financial Review online, NT News online, Facebook, Career Hub, SEEK, National Career Hub, NT News Digital, National Australian Financial Review	9 014
Bereavement Notice	NT News	282
Promotion of NTTC/NTG in 2020 High-Grade Issuer Yearbook	KangaNews	3 344
TOTAL		29 434

Note: full year expenditure is lower from that reported as at 30 March 2020 due to Atomic credit notes.

d) Tenders or expressions of interest were not called, as procurement of these services were undertaken using Across Government Contracts, where the following panel contracts were utilised:

- D17-0375 (Advertising in the Northern Territory);
- D19-0046 (Media Monitoring Services); and
- D19-0132 (Creative, Communication and Research Services).

TRAVEL

24. Please provide the total expenditure and itemised details of travel, including, but not limited to travel-related costs such as accommodation, travel allowance, entertainment, car rental, meals and incidentals, in each Agency and authority for the period beginning 1 July 2019 and ending 30 June 2020 broken down to:

- International travel
- Interstate travel
- Intrastate travel

For the Department of Treasury and Finance (including Northern Territory Treasury Corporation), for the period 1 July 2019 to 30 June 2020:

	24 (a)	24 (b)	24 (c)
	International	Interstate	Intrastate
	\$	\$	\$
Official duty fares	0	48 823	6 807
Accommodation	0	13 342	1 723
Travelling allowance	0	6 614	418
Other Expense	0	3 201	607
Total	Nil	71 980	9 555

Key items to note:

- Travel amount includes travel related costs of airfare, accommodation, meals, travelling allowance, car rental and incidentals.
- Travel expenditure is exclusive of GST.

25. In the case of international travel identified in response to the question above, please provide the purpose, itinerary, persons and costs involved in each trip.

Not applicable.

26. Please provide itemised details and itemisation of costs of all travel undertaken by the Minister that was paid for by the Agency or authority, including travel on charters during the period beginning 1 July 2019 and ending 30 June 2020.

A whole-of-government response to Question 26 will be provided by the Department of the Chief Minister and Cabinet.

HOSPITALITY / FUNCTIONS AND EVENTS

27. Please provide full details of all public service hospitality provided for the period beginning 1 July 2019 and ending 30 June 2020.

In relation to each occasion on which official hospitality was undertaken:

- a) What was the purpose of the hospitality?
- b) How many guests attended?
- c) How many Ministers attended?
- d) How many Ministerial staff attended?
- e) How many MLAs attended?
- f) How many Public Sector employees attended?
- g) What was the total cost incurred?

Total expenditure on public service hospitality for the period of 1 July 2019 ending 30 June 2020 for the Department of Treasury and Finance and the Northern Territory Treasury Corporation was \$3190.

Official hospitality expenditure related to the 2019 Chief Minister's Awards for Excellence in the Public Sector, forums and professional body dinner events. The Department did not host any events; rather, costs are for attendance at events.

GRANTS AND DONATIONS

28. Please detail expenditure on grants and donations paid by your Agency (including the recipient of each grant) during the period beginning 1 July 2019 and ending 30 June 2020.

Detail of grants and donations paid by the Department of Treasury and Finance and the Northern Territory Treasury Corporation for the period of 1 July 2019 to 30 June 2020:

Recipient	Amount \$
BuildBonus ¹	5 260 000
First Home Owners Grant Scheme ¹	3 935 000
Household Goods Grant Scheme ¹	416 000
CDU – Research grant	360 000
Darwin Harbour Advisory Committee	20 000
TOTAL	9 991 000

1. Recipients were individual householders.

29. Please detail the funds utilised to distribute awards and sponsorships in the period beginning 1 July 2019 and ending 30 June 2020. Indicate which awards and sponsorships were managed by Regional Offices. What is anticipated for the 2020/21 financial year?

Detail of grant expenditure for awards and sponsorships for the period 1 July 2019 to 30 June 2020 are:

Recipient	Amount \$
Darwin High School	600
Northern Territory Board of Studies	500
St Phillips College	450
Palmerston College	370
Tennant Creek High School	360
Mackillop Catholic College	350
O'Loughlin Catholic College	250
Casuarina Senior College	200
Centralian Senior College	200
Katherine High School	200
Nightcliff Middle School	180
Darwin Middle School	150
St John's College	150
Sanderson Middle School	120
Our Lady of the Sacred Heart Catholic College	112
TOTAL	4 192

The Department of Treasury and Finance and Northern Territory Treasury Corporation do not have regional offices.

2020-21 grant expenditure for awards and sponsorships will be approximately \$11 000. The awards and sponsorships program has been broadened to provide opportunities to a wider range of Territory schools for students to be recognised for achievement in subject areas relevant to careers in the Department of Treasury and Finance.

30. Please detail the amounts paid on grants to non-Government organisations for the period beginning 1 July 2019 and ending 30 June 2020, including to which organisation and the services to be provided?

Not applicable.

MEDIA MONITORING SERVICES

31. Provide expenditure details on media monitoring services for the period beginning 1 July 2019 and ending 30 June 2020 (including entities engaged and who utilises the service).

The media monitoring contract is managed by the Department of the Chief Minister and Cabinet on behalf of all Northern Territory Government agencies.

INFRASTRUCTURE PROJECTS

32. How many projects have been submitted or are in the process of being submitted to Infrastructure Australia to be considered for the Infrastructure Priority List?

A whole-of-government response to Question 32 will be provided by the Minister for Industry, Tourism and Trade.

33. Please provide details of newly committed projects for the period beginning 1 July 2019 and ending 30 June 2020.

A whole-of-government response to Question 33 will be provided by the Department of Infrastructure, Planning and Logistics.

34. Please provide details of contracts awarded to interstate firms, for what purpose, the cost and why a Territory firm was not chosen.

A whole-of-government response to Question 33 will be provided by the Department of Infrastructure, Planning and Logistics.

GOVERNMENT LEASED BUILDINGS

35. What is the total annual power bill of each Government building owned/leased/used by each Department for the period beginning 1 July 2019 and ending 30 June 2020?

The total power bill of the leased premises occupied by the Department of Treasury and Finance and the Northern Territory Treasury Corporation from 1 July 2019 to 30 June 2020 was:

Building	As at 30 June 2020
Charles Darwin Centre, 19 Smith St	\$37 473

36. What is the total annual leased space of each Government building used by each Agency/authority and at what cost for the period beginning 1 July 2019 and ending 30 June 2020?

A whole-of-government response to Question 36 will be provided by the Department of Corporate and Digital Development.

37. How much Government owned or leased premises or office space is currently under-utilised (at less than 100 per cent occupied) or vacant?

A whole-of-government response to Question 37 will be provided by the Department of Corporate and Digital Development.

FEES AND CHARGES

38. Please detail the statutory or legislative fees and charges levied by your Agency/authority, the revenue raised in the 2019/20 financial year and whether any of these fees and charges was increased following the passage of the 2019/20 financial year budget.

Not applicable.

INTERNAL AUDITS

39. How many internal audits and financial investigations were conducted in the period beginning 1 July 2019 and ending 30 June 2020?

Four internal compliance audits were conducted by the Department of Treasury and Finance and the Northern Territory Treasury Corporation during this timeframe:

- An internal audit of Value for Territory (Buy Local) and procurement rules for the 2019 calendar year was finalised in April 2020
- A compliance audit of Cabinet information security measures
- Treasury Corporation treasury function controls/internal controls testing
- Treasury Corporation control self-assessment review.

The Department of Treasury and Finance and the Northern Territory Treasury Corporation did not undertake any financial investigations in this timeframe.

40. What were the terms of reference or focus for each investigation?

The Department of Treasury and Finance and the Northern Territory Treasury Corporation did not undertake any financial investigations in this timeframe.

For the period of 1 July 2019 to 30 June 2020:

- An internal audit of Value for Territory procurement was completed for the 2019 calendar year. The internal audit had the following scope:
 - assess compliance against Northern Territory Government Procurement Policies and Rules, Treasurer’s Directions and the agency’s policies and procedures for Tier 1 and above procurements, and associated payments in line with the Value For Territory assurance program
 - identify risks associated with the agency’s current practices
 - make recommendations, as appropriate, for reducing risk exposure
 - Cabinet security measures.
 - An internal audit of treasury controls testing for the Northern Territory Treasury Corporation was completed during the 2019-20 financial year. The internal audit had the following scope:
 - Ensure key areas of compliance are met, best practice frameworks are being applied and provide recommendations for areas of improvement in processes and frameworks where applicable.
 - An internal audit of control self-assessment review for the Northern Territory Treasury Corporation was completed during the 2019-20 financial year. The internal audit had the following scope:
 - Consider the design and operating effectiveness of the key controls within key process areas.
41. Please provide detail of any fraud, anomalies, breaches of financial legislation or Northern Territory Government policy and procedures exposed by the audits and financial investigations.

Regular internal compliance activities are undertaken throughout the year and the compliance activities have not identified any fraud or anomalies.

The Value for Territory internal audit, completed in April 2020, identified a small number of non-compliances with some individual procurement rules. An overarching action plan is being implemented, to increase awareness and skills in procurement across the agency to reduce the risk of future non-compliances.

BOARDS / ADVISORY BODIES

42. Please detail all boards and advisory bodies in your Agency in 2019/20, also providing the following information:
- a) The Terms of Reference, if changed from last year
 - b) The current members and when they were appointed
 - c) The total remuneration paid to each Board member during the 2019/20 financial year
 - d) The itemised total cost incurred by the Board during the 2019/20 financial year

- e) The number of times the Board met during the period beginning 1 July 2019 and ending 30 June 2020

The Utilities Commission is an independent statutory authority, however is included in the Department of Treasury and Finance's budget for administrative purposes. Staff supporting the Commission are seconded from the Department of Treasury and Finance.

Board	Terms of Reference	Members and Date Appointed	Remuneration (including Superannuation)	Total Costs	No. of meetings
	(a)	(b)	(c)	(d)	(e)
Utilities Commission of the Northern Territory	The Commission operates under section 2 and 6 of the <i>Utilities Commission Act 2000</i> .	Mr Lyndon Rowe – 31/12/2018 Mr Richard Owens – 12/04/2017	\$89 899 \$69 153	\$175 662	Eleven

The Northern Territory Treasury Corporation has an advisory board in accordance with its legislation. The Northern Territory Treasury Corporation is a statutory body, however operates as part of the Department of Treasury and Finance for administrative and management purposes.

Board	Terms of Reference	Members and Date Appointed	Remuneration (including Superannuation)	Total Costs	No. of meetings
	(a)	(b)	(c)	(d)	(e)
Northern Territory Treasury Corporation Advisory Board	Review and monitor the performance of NTTC, its business risks and performance in relation to its objectives. The Advisory Board also provides guidance on policy, analysis of economic conditions and advice on aligning borrowings and investing intentions with interest rate expectations.	Mr Craig Graham - April 2017 Mr David Braines-Mead - August 2007 Mr Richard Ryan - June 1995 Mr John Montague - November 2014	Nil Nil \$19 822 \$19 822	\$44 170	Four

REVIEWS AND INQUIRIES

43. Details of all reviews and inquiries completed or commenced during the 2019/20 financial year, also providing the following information:
- a) The Terms of Reference
 - b) The criteria for selection of all panel members
 - c) The composition, qualifications and state or territory of residence of the persons undertaking the review/inquiry
 - d) The cost of the review/inquiry
 - e) How the information was/is accumulated to contribute to the review/inquiry
 - f) If completed, when, the outcome and whether the report has been tabled in the Legislative Assembly
 - g) If not completed when this is expected

The Utilities Commission of the Northern Territory, conducted one review during the 2019-20 financial year – The independent investigation of the Alice Springs systems black incident on 13 October 2019.

- a) Terms of Reference are attached at Attachment A.
- b) Panel Members:
 - Sections 10(1) and 12(1) of the *Utilities Commission Act 2000* set out the required qualifications for Utilities Commissioners.
- c) Composition, qualifications and state/territory of residence:
 - The Utilities Commission of the Northern Territory is composed of the Commissioner Mr Lyndon Rowe (WA) and Associate Commissioner Mr Richard Owens (NSW). Section 10(1) and 12(1) of the *Utilities Commission Act 2000* set out the required qualifications for Commissioners.
- d) The cost:
 - The total cost of the review was \$ 59 124 (excluding GST).
- e) How the information was accumulated:
 - Information was provided by the relevant licensees (Power and Water Corporation, Territory Generation and Uterne Power Plant Pty Ltd) at the request of the Commission under section 25 of *Utilities Commission Act 2000* and 41 interviews held with stakeholders.
- f) Date completed and whether tabled within the Legislative Assembly:
 - The report was completed on 22 November 2019. The report has not been tabled in Legislative Assembly, however was released publicly on 9 December 2019.
- g) If not completed, when this is expected:
 - Not applicable

TERMS OF REFERENCE INDEPENDENT INVESTIGATION OF ALICE SPRINGS SYSTEM BLACK INCIDENT ON 13 OCTOBER 2019

On the afternoon of Sunday, 13 October 2019, an extended system black power outage in Alice Springs and connected communities affected about 12 000 customers, some for a period of up to nine hours.

The Power and Water Corporation and Territory Generation are investigating the incident.

Referral

Pursuant to section 6(1)(g) of the *Utilities Commission Act 2000*, the Utilities Commission is to conduct an independent investigation into the Alice Springs and connected communities system black incident that occurred on 13 October 2019.

Objectives

The objectives of this investigation are to identify:

- the causes of the incident, its severity and duration
- broader implications for future power system reliability and security for Alice Springs and connected communities, and
- appropriate remedial measures to infrastructure, systems and processes.

Scope

The investigation is to address the following matters:

- the power system situation that existed prior to the system black incident (having regard for the current transition process from Ron Goodin Power Station to Owen Springs Power Station), the sequence of events leading to the incident and the state of the power system after the incident, plus any related subsequent incidents
- the root causes of the incident from a technical standpoint including any operational and maintenance activities
- the Alice Springs power system's history of major incidents, their investigation and implementation of remedial actions
- the response of generators to the disturbance and their protection systems
- the response of automatic dispatch and automatic generator control systems during the incident
- standards and procedures followed by System Control to mitigate the risk of system insecurity and their performance against these standards during this incident (including black start procedures and adequacy of employees training)
- any other causal factors and factors contributing to both the occurrence and severity of the incident
- the adequacy and speed of the response to the incident, including established emergency management protocols, external communication and mitigations taken to protect vulnerable consumers

- the time taken to restore the system, including any issues that may have negatively impacted the restoration time, and compliance with and adequacy of current power system restoration procedures, including the identification of any gaps
- the adequacy of the Alice Springs spinning reserve policy and automatic generator controls to respond to system events thereby providing reliable and secure power in the future
- actions that may be required to prevent a recurrence of such an incident including the design of the power system and related power system operation and maintenance practices
- whether limits of normal operation of automated generator control are understood and, therefore, at what point operations determine that system security is at risk and remedial action needs to be taken, including the implementation of system constraints
- the outlook for the system over the next 12 months, including how the plans for the retirement of the Ron Goodin Power Station and the battery commissioning (separately and combined) are assisting or undermining the capacity of the network to manage these incidents, and whether the plans and emergency responses are adequate for each phase, and
- subsequent investigations and appropriate timing, if the above matters are unable to be addressed within the specified timeframe.

Delivery

The Utilities Commission is to draw on external technical resources as it considers appropriate.

The Utilities Commission is to provide an investigation report to the Hon Nicole Manison MLA, Treasurer and the Hon Dale Wakefield MLA, Minister for Renewables, Energy and Essential Services by 18 November 2019.

Stakeholders

Key stakeholders are to be consulted during the course of the investigation, including but not limited to:

- Territory Generation
- Power and Water Corporation System Control
- Power and Water Corporation Networks
- Epuron (in relation to Uterne Power Plant Pty Ltd)
- Licenced retailers
- Workers' unions
- Northern Territory Government, and
- Employees involved in the incident and restoration.

Budget

The Department of Treasury and Finance will provide funding to meet the Utilities Commission's costs for external technical resources to conduct the investigation.

WORKPLACE HEALTH AND SAFETY

44. Please provide the number, nature and cost of reportable safety issues for the period beginning 1 July 2019 and ending 30 June 2020.

The Department of Treasury and Finance and Northern Territory Treasury Corporation did not have any notifiable safety issues between 1 July 2019 and 30 June 2020.

45. Please detail the number of stress related matters and claims for the period beginning 1 July 2019 and ending 30 June 2020.

The Department of Treasury and Finance and Northern Territory Treasury Corporation did not have any stress related matters or claims between 1 July 2019 and ending 30 June 2020.

REGIONAL OFFICES

46. Please detail expenditure on staff located in regional offices across the Territory. Include the number of staff, their functions and outcomes achieved in the 2019/20 financial year. What are the locations for which they are responsible?

Not applicable.